

ATTORNEY'S DOCKET NUMBER:  
3-1032-170TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37  
CFR 1.5) (Not Yet Assigned - U.S. National  
Phase of Int'l PCT)

10/03002

INTERNATIONAL APPLICATION  
NO. PCT/FR00/01109INTERNATIONAL FILING DATE  
April 26, 2000PRIORITY DATE CLAIMED  
April 30, 1999TITLE OF INVENTION: SOLUBLE BRANCHED POLYMERS OF GLUCOSE AND PROCESS FOR  
PRODUCTION THEREOFAPPLICANT(S) FOR DO/EO/US Jean-Jacques, Philippe LOOTEN, Carole PETITJEAN, Guy FLECHE,  
Serge COMINI and Daniel BACKER

Applicant herewith submits to the United States Designated/Elected Office(DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.56, 1.97 and 1.98 with PTO Form 1449 attached;
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
  - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.

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16.  Other items or information:

PCT International Application Published Under the Patent Cooperation Treaty (Cover Page);  
PCT International Search Report;  
PCT International Preliminary Examination;  
PCT Request.

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22 OCT 2001

17.  The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search report has been prepared by the EPO or JPO ..... \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$

No international preliminary examination fee paid to USPTO (37 CFR 1.482  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provision of PCT Article 33(2)-(4) ..... \$

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$890.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than

20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

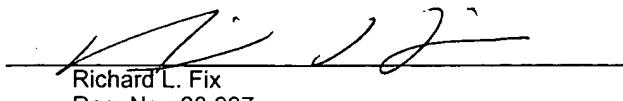
**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	9 -20 =	0	X \$18.00	\$ 0.00
Independent Claims	2 - 3 =	0	X \$84.00	\$ 0.00
Multiple dependent claims(s) (if applicable)	Yes		+ \$0.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,020.00</b>
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00
<b>SUBTOTAL =</b>				<b>\$1,020.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,020.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).				
<b>\$ 40.00 per property +</b>				\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,020.00</b>
				Amount to be:
				refunded \$
				charged \$

- A check in the amount of \$1,020.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. 08-1650 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1650.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
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Suite 1213  
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Telephone: (515) 288-9589

  
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ATTORNEY'S DOCKET NUMBER:  
3-1032-170

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
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Phase of Int'l PCT)

10/030002

INTERNATIONAL APPLICATION  
NO. PCT/FR00/01109

INTERNATIONAL FILING DATE  
April 26, 2000

PRIORITY DATE CLAIMED  
April 30, 1999

TITLE OF INVENTION: SOLUBLE BRANCHED POLYMERS OF GLUCOSE AND PROCESS FOR  
PRODUCTION THEREOF

APPLICANT(S) FOR DO/EO/US

Jean-Jacques, Philippe LOOTEN, Carole PETITJEAN, Guy FLECHE,  
Serge COMINI and Daniel BACKER

EL679461382US

Applicant herewith sub-  
mits information:

RLF/kc Express Mail No. EL679461382US  
October 22, 2001 Attorney Docket: 3-1032-170

Please place the Patent Office receipt date stamp hereon to  
acknowledge the receipt of:

Applicants: Jean-Jacques CABOCHE, et al.  
For: SOLUBLE BRANCHED POLYMERS OF GLUCOSE AND  
PROCESS FOR PRODUCTION THEREOF

10/030002

✓ PCT Transmittal Letter.  
✓ Preliminary Amendment consisting of four (4) pages  
✓ Attested English translation of PCT Application No. FR00/05523 consisting of 28 pages.  
✓ Declaration and Power of Attorney -unexecuted;  
✓ Claim of Priority consisting of one page.  
✓ PCT International Application Published Under the Patent Cooperation Treaty;  
✓ PCT International Preliminary Examination  
✓ PCT International Search Report ;  
✓ PCT Request  
✓ check in the amount of \$1,020.00 for the filing fee.

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One Thousand Two  
Commissioner of P.

PTO/RA/AM/PTO 22 OCT 2001

SAFEGUARD SECURITY

THIS DOCUMENT CONTAINS HEAT-SENSITIVE INK. TOUCH OR PRESS HERE. RED IMAGE DISAPPEARS WITH HEAT.

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9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

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 A SECOND or SUBSEQUENT preliminary amendment.
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I, Dr Tim Leeney, verify that the document attached as Exhibit A is a true and correct English-language translation of the text of International Patent Application No. PCT/FR00/01109 attached as Exhibit B. I have been warned that wilful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001) and may jeopardize the validity of the application or any patent issuing thereon. All statements herein made of my own knowledge are true and all statements made on information and belief are believed by me to be true.

Signed:



Name: Dr Tim Leeney

Dated this 5<sup>th</sup> day of October 2001

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